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Compositional and Mineralogical Effects on Ice Nucleation Activity of Volcanic Ash

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Abstract: Volcanic ash produced during explosive eruptions may serve as ice nuclei in the atmosphere, contributing to the occurrence of volcanic lightning due to tribocharging from ice–ice or ice–ash collisions. Here, different ash samples were tested using deposition-mode and immersion-mode ice nucleation experiments. Results show that bulk composition and mineral abundance have no measurable effect on depositional freezing at the temperatures tested, as all samples have similar ice saturation ratios. In the immersion mode, there is a strong positive correlation between K2O content and ice nucleation site density at −25 °C and a strong negative correlation between MnO and TiO2 content at temperatures from −35 to −30 °C. The most efficient sample in the immersion mode has the highest surface area, smallest average grain size, highest K2O content, and lowest MnO content. These results indicate that although ash abundance—which creates more available surface area for nucleation—has a significant effect on immersion-mode freezing, composition may also contribute. Consequently, highly explosive eruptions of compositionally evolved magmas create the necessary parameters to promote ice nucleation on grain surfaces, which permits tribocharging due to ice–ice or ice–ash collisions, and contribute to the frequent occurrence of volcanic lightning within the eruptive column and plume during these events.

Keywords: volcanic ash; ice nucleating particles; ice nucleation activity; immersion-mode heterogeneous nucleation; deposition-mode heterogeneous nucleation; volcanic lightning

1. Introduction

Volcanic lightning is a common phenomenon during explosive eruptions, and may occur in the near-vent region (Figure 1) or at higher altitudes in the laterally spreading ash plume. These discharge events can vary based on length of the discharge channel, time scale of the flash, and distance from the vent [1,2]. In volcanic columns and plumes, charge generation may result from either fractoeission (i.e., the breaking of particles during magma fragmentation) or tribocharging (i.e., the collision of particles and transfer of charge) [3,4]. The separation of ash particles with opposite charge causes development of an electric field, leading to lightning discharge. “Plume” lightning produces the longest discharge channels and is considered the most similar to thunderstorm lightning, where volcanic ash may act as ice nuclei, leading to tribocharging from ice–ice or ice–ash collisions [2,5–7]. Ice collisions can only be an effective charging mechanism once the ash has reached an altitude at which ice nucleation can occur, between the −10 °C and −20 °C isotherms [8,9]. The altitude of isotherms above erupting volcanoes will vary based on the latitude and the meteorological conditions of the day. Remote sensing studies of volcanic lightning have determined the altitude of the −20 °C isotherm...
during eruptions of Eyjafjallajökull, Iceland (4–5 km) [10]; Sakurajima, Japan (7–8 km) [11]; Calbuco, Chile (9–10 km) [12]; and Shiveluch, Russia (4 km) [13]. These isotherm altitudes fall within the range of many explosive eruption columns, which can vary in height from hundreds of meters to >20 km, depending on eruption intensity. Consequently, ice nucleation may also factor into tribocharging in the near-vent region (Figure 1). Greater explosivity will typically produce a higher eruptive column and plume in addition to a higher proportion of very fine ash-sized (<32 µm) pyroclasts. Thus, understanding the role ash plays in ice nucleation is important for understanding both lightning generation in water-enriched regions of volcanic columns and plumes and also in cumulonimbus clouds developing downwind from volcanic vents following eruptions.

Volcanic ash has long been suggested to influence heterogeneous ice nucleation following explosive eruptions [8,14–17]. There are three main modes of heterogeneous nucleation [18–20], which include (1) depositional nucleation, where ice forms on the surface of a solid particle directly from the vapor phase; (2) immersion freezing, where a solid particle is immersed in a supercooled water droplet and ice nucleation occurs on the particle; and (3) contact freezing, where ice forms from the contact between a supercooled water droplet and a solid particle. Prior to explosive events, and dependent upon bulk composition, H$_2$O may comprise up to 8% of the pre-eruptive magma by mass [8]. At the point of magma fragmentation, ash grains and larger pyroclasts are dispersed in an expanding gas phase where H$_2$O is the dominant volatile, and this dusty gas mixture entrains and heats the ambient air, allowing the convecting eruptive column to ascend to higher altitudes. As it does, the concentration and grain size distribution of ash will progressively change, with coarser particles falling out closer to the vent, and finer particles remaining aloft at greater altitudes and at greater distances within the laterally spreading plume. It should be noted that ash particles will result
in overseeding of ice in volcanic plumes [8]. Thus, both formation of graupel and contact freezing will be limited due to a relative lack of supercooled water droplets (compared to typical thunderstorms) and charge will more often be transferred between colliding ash particles, in collisions between ice crystals that have nucleated on ash grains, or between ash and ice. Considering the abundance of free H$_2$O in both the eruptive column and ambient atmosphere, immersion-mode ice nucleation will likely dominate but deposition-mode and contact freezing are still possible. For the purposes of this study, we focus on deposition-mode and immersion-mode freezing that occur at, or above, the $-20^\circ$C isotherm (Figure 1).

Mineral dusts (particularly clay minerals) have been shown to be effective ice nucleating particles (INPs) [21–26], but determining precisely how composition and mineralogy affect ice nucleation activity (INA) is still up for debate. One study [27] investigated nine abundant minerals (quartz, albite, microcline, calcite, gypsum, montmorillonite, hematite, illite, and kaolinite) commonly found in mineral dust [28] and concluded that kaolinite, illite, and hematite were the most efficient INPs in the depositional mode. In contrast, another study [29] found that K-feldspars, in comparison to Na/Ca feldspars, quartz, calcite, and clay minerals, are the dominant INPs in the immersion mode despite contributing only 3% to the dust mass sampled. More recent studies [30–33] confirm previous results [29] in which K-feldspars are the most efficient INPs in comparison to other minerals tested, including Na/Ca-feldspars, clay minerals, quartz, calcite, metal sulfates, and metal oxides. Several studies [8,16,17,34–38] have conducted nucleation experiments on volcanic ash, using samples from a limited number of volcanoes; thus, there is ample opportunity to add to the current dataset concerning volcanic ash INA. Because the motivation of this study is to examine the roles of bulk composition and mineral content, these samples are not composed of ash originally formed during explosive eruptions, but ash manufactured from other types of volcanic deposits. “Natural” volcanic ash will have a range of grain sizes and shapes, and will often be coated with soluble salts due to interaction with gases in the volcanic plume. We have manufactured ash through milling and sieving of eruptive deposits to equalize (as best as possible) grain size distributions and grain shapes and focus only on the roles of composition and mineralogy in ice nucleation.

2. Experiments

For the study presented here, volcanic ash samples with different compositions and mineral/glass content were tested in both the deposition and immersion modes using methods outlined below, which follow a previous study [37]. Available facilities did not permit examination of contact freezing, so this method of heterogeneous nucleation is not included here. All samples were collected from their original depositional location and had undergone very little post-depositional alteration, as X-ray diffraction analyses did not show the presence of clay minerals. Sample OB2 originates from the Banco Bonito lava flow that erupted ~50 ka [39,40] from the Valles Caldera in northern New Mexico, USA, and is an obsidian containing spherulites (radial crystals formed within vesicles). Taupo is a large (~30 cm) pumice clast originating from the 1.8 ka Plinian eruption of the Taupo caldera [41–44] on the North Island of New Zealand. A single pumice clast was utilized from this eruption due to the chemical homogeneity of the magma [45,46] and low crystal content [44]. The three remaining samples (ONW, PINW, and NIW) originate from fall deposits produced during the ~77 ka Lathrop Wells eruption in the Southwestern Nevada Volcanic Field, USA, [47–51]. ONW represents oxidized scoria, PINW represents scoria containing accidental lithics, and NIW represents scoria with all lithics removed (Table S1). Both pumice and scoria are pyroclasts containing vesicles (formerly gas bubbles exsolving from the melt), produced during explosive eruptions. Pumice typically forms from magma with intermediate to high silica content (60–70 wt %) and contains a higher number density of smaller vesicles compared to scoria, which typically forms from magma with lower silica content (<60 wt %) and has a lower number density of relatively larger vesicles. During the explosions that form these pyroclasts, pieces of pre-existing country rock may be incorporated into the erupted material (i.e., accidental lithics).
The volcanic samples were crushed with a hammer and put through a McCrone micronizing agate mill. The ash was then sieved through a 32 µm stainless steel mesh to ensure that the samples could be classified as very fine ash. Mineral/glass abundance was quantified with the JOEL JSM 6010 Plus/LA scanning electron microscope (SEM) using backscattered images of polished grain mounts (Figure S1 and S2) and ImageJ freeware. Mineralogy was determined with the Bruker AXS D8 X-ray diffractometer (XRD), grain size distributions were determined with a Malvern Mastersizer 3000 laser diffraction particle size analyzer (LDPSA), bulk compositions were determined with the Philips Analytical PW 2400 X-ray fluorescence (XRF) analyzer, and ash density was measured with a Quantachrome helium pycnometer. All instruments are housed in the Department of Geological Sciences at the University of Alabama.

Deposition-mode and immersion-mode ice nucleation experiments were performed at the University of Colorado at Boulder using established methods [37]. Both experiments were performed using a Nicolet Almega XR Dispersive Raman spectrometer coupled with a Linkam THMS600 environmental cell. The spectrometer was equipped with an Olympus BX-51 optical microscope with 10×, 20×, 50×, and 100× magnification objectives. The temperature of the cold stage in the environmental cell was controlled by a Linkam TMS94 automated temperature controller with an accuracy of 0.15 K. For the deposition-mode ice nucleation experiments, the water partial pressure in the cell was controlled by flowing a mixture of dry and humidified nitrogen over the cold stage. This partial pressure was measured in terms of dew/frost point using a Buck Research CR-1A hygrometer with an accuracy in dew/frost point temperature of 0.15 K. Ice saturation ratios \( S_{\text{ice}} \) were obtained at \(-48.15^\circ C\), \(-43.15^\circ C\), and \(-38.15^\circ C\) with the following equation:

\[
S_{\text{ice}}(T) = \frac{P_{\text{H2O}}}{V_{\text{P, ice}}(T)} \tag{1}
\]

where \( P_{\text{H2O}} \) is the partial pressure of water when the first ice event is observed (Figure S3) and \( V_{\text{P, ice}} \) is the equilibrium saturation vapor pressure of ice and water at that temperature in K (T). For the immersion-mode experiments, based on previous methods [37], the ash samples were made into slurries of 0.5, 1.0, and 2.0 wt % ash in water. The slurries were inverted and well mixed immediately before nebulization to ensure that the concentration of ash within the droplets was representative of the weight concentration of ash in the slurry. These samples were then nebulized, a drop of silicone fluid was placed on the center of the disc to ensure the ash being analyzed was immersed in water for the remainder of the experiment, and the sample was immediately placed in the environmental cell. Frozen fractions (FF) were visually determined from \(-20^\circ C\) to \(-50^\circ C\) by recording a video of the particles during the range of temperatures (Video S1) at a cooling rate of 10 °C per minute, counting the number of particles that froze at 30 second increments (i.e., every 5 °C), and using the following equation:

\[
\text{FF}(T) = \frac{n_{\text{ice}}(T)}{n} \tag{2}
\]

where \( n_{\text{ice}}(T) \) is the number of frozen droplets at temperature T and n is the number of frozen droplets at the lowest temperature reached in the experiments (\(-50^\circ C\)), which is well below the freezing temperature for homogeneous ice nucleation (\(-39.55^\circ C\)) [52]. Each sample was examined four or five times, except for PINW, which was examined twice. There were several tens of nebulized particles in each of the analyzed videos.

The ice nucleation active surface site density \( (n_s) \) was calculated from the frozen fraction using the following equation [37]:

\[
n_s = -\frac{\ln(1-\text{FF})}{SA_p} \tag{3}
\]

where \( SA_p \) is the surface area per particle. The \( SA_p \) was determined through the surface area of the bulk ash sample (SA) and average particle grain size, both measured with the LDPSA, and the density of the bulk sample (\( \rho \)) as measured with the helium pycnometer using the equation:

\[
SA_p = V \times \rho \times SA \tag{4}
\]
3. Results

3.1. Sample Characteristics

The five samples consist of two derived from eruptions of rhyolitic magmas and three derived from an eruption of basaltic magma, representing a wide range of eruptive styles. Bulk compositional analyses can be found in Table 1. Minerals identified by X-ray diffraction (XRD) within the samples include the following: (1) OB2 contains quartz, albite, actinolite, and magnetite (Figure S4); (2) Taupo contains albite, quartz, magnetite, and orthoclase (Figure S5); (3) NIW contains albite, magnetite, forsterite, ilmenite, enstatite, and anorthite (Figure S6); (4) ONW contains albite, magnetite, ilmenite, forsterite, augite, and enstatite (Figure S7); and (5) PINW contains albite, anorthite, magnetite, enstatite, forsterite, and a sodium hydrate (Figure S8). Because the presence of amorphous glass in volcanic samples may affect an accurate determination of mineral percentages using XRD data, scanning electron microscope (SEM) analyses were used to quantify mineral/glass abundance (Table 2). SEM analyses of polished grain mounts using backscattered electron imaging revealed blocky ash grains with a consistent aspect ratio of ~0.5 for all samples, and provided the relative proportions of the different mineral phases and amorphous glass (Figure S1 and S2). OB2 and Taupo are dominated by glass (>90% of image area), while the three Lathrop Wells samples contain lesser amounts of glass (16–22%) and various other minerals (Fe-oxides, olivine, pyroxene), including plagioclase feldspar and magnetite (Table 2). Laser diffraction particle size analyses revealed that all samples have a similar grain size distribution (Figure 2A), comparable surface areas, and similar average particle diameters, although OB2 has the largest of the former and the smallest of the latter among all five samples. Figure 2B presents the relevant values used to calculate the $S_{A_{p}}$ with Equation (4).

![Figure 2](image_url)

**Figure 2.** Grain size distributions of samples determined from laser diffraction particle size analysis (LDPSA). (A) Original volcanic deposits were mechanically milled and sieved to homogenize grain size and shape. All five samples are classified as very fine ash (<32 µm) with a dominant mode between 5 and 20 µm. (B) Relevant properties of ash samples used to calculate particle surface areas ($S_{A_{p}}$). Surface area and average size of ash grains were determined from LDPSA measurements (average of 5 measurements), and ash bulk density was determined using helium pycnometry (average of 10 measurements). The particle diameter represents the D (3,2) value (the surface area mean) in the size distribution. The LDPSA assumes a spherical shape for particles.
Table 1. Bulk sample compositions. X-ray fluorescence analyses of ash, showing the proportions of major/minor element oxides in the bulk sample. Each value is a normalized average from five separate measurements, with a standard deviation of <3% of the value. Based upon SiO$_2$ content, OB2 and Taupo are classified as rhyolites and the Lathrop Wells samples (NIW, ONW, PINW) are classified as trachybasalts.

<table>
<thead>
<tr>
<th>Major Elements</th>
<th>OB2</th>
<th>Taupo</th>
<th>NIW</th>
<th>ONW</th>
<th>PINW</th>
</tr>
</thead>
<tbody>
<tr>
<td>SiO$_2$</td>
<td>73.05</td>
<td>73.48</td>
<td>48.43</td>
<td>49.12</td>
<td>49.29</td>
</tr>
<tr>
<td>TiO$_2$</td>
<td>0.36</td>
<td>0.32</td>
<td>1.89</td>
<td>1.90</td>
<td>1.98</td>
</tr>
<tr>
<td>Al$_2$O$_3$</td>
<td>13.74</td>
<td>13.81</td>
<td>16.22</td>
<td>17.14</td>
<td>17.09</td>
</tr>
<tr>
<td>Fe$_2$O$_3$</td>
<td>2.23</td>
<td>2.58</td>
<td>11.92</td>
<td>11.36</td>
<td>11.51</td>
</tr>
<tr>
<td>MnO</td>
<td>0.05</td>
<td>0.10</td>
<td>0.19</td>
<td>0.18</td>
<td>0.18</td>
</tr>
<tr>
<td>MgO</td>
<td>0.70</td>
<td>0.37</td>
<td>7.04</td>
<td>5.73</td>
<td>5.68</td>
</tr>
<tr>
<td>CaO</td>
<td>1.64</td>
<td>1.77</td>
<td>8.07</td>
<td>8.46</td>
<td>7.96</td>
</tr>
<tr>
<td>Na$_2$O</td>
<td>3.94</td>
<td>4.72</td>
<td>3.38</td>
<td>3.22</td>
<td>3.40</td>
</tr>
<tr>
<td>K$_2$O</td>
<td>4.21</td>
<td>2.78</td>
<td>1.70</td>
<td>1.73</td>
<td>1.87</td>
</tr>
<tr>
<td>P$_2$O$_5$</td>
<td>0.08</td>
<td>0.06</td>
<td>1.16</td>
<td>1.16</td>
<td>1.05</td>
</tr>
<tr>
<td>Total</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
</tbody>
</table>

Table 2. Average mineral/glass contents of samples. Backscattered electron images, obtained with the scanning electron microscope, were used to quantify the percent area of minerals and amorphous glass in polished epoxy mounts using ImageJ freeware. Due to similar backscatter coefficients, glass and feldspar percentages (Glass + Fds) were analyzed simultaneously in the rhyolite samples (OB2 and Taupo) and pyroxene + olivine percentages (Pyx + Olv) were analyzed simultaneously in the basalt samples (NIW, ONW, PINW). Uncertainties are based upon the standard deviation of the average for the total number of images analyzed (20–25 for each sample). The two-dimensional analyses of the samples are assumed to represent the three-dimensional values, as the grains are randomly oriented and >1000 grains per sample were examined to provide an accurate representation.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Fds (%)</th>
<th>Glass + Fds (%)</th>
<th>Pyx + Olv (%)</th>
<th>Fe-oxides (%)</th>
<th>Total Minerals (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Taupo</td>
<td>99.43 ± 1.74</td>
<td>5.57 ± 1.74</td>
<td>0.57 ± 1.74</td>
<td>0.57 ± 1.74</td>
<td>83.33 ± 4.89</td>
</tr>
<tr>
<td>OB2</td>
<td>99.59 ± 0.45</td>
<td>0.43 ± 0.45</td>
<td>0.41 ± 0.45</td>
<td>80.80 ± 5.07</td>
<td>5.82 ± 2.72</td>
</tr>
<tr>
<td>NIW</td>
<td>76.64 ± 4.20</td>
<td>16.67 ± 4.89</td>
<td>5.82 ± 2.72</td>
<td>0.86 ± 0.73</td>
<td>19.20 ± 5.07</td>
</tr>
<tr>
<td>ONW</td>
<td>67.93 ± 4.61</td>
<td>19.20 ± 5.07</td>
<td>9.02 ± 4.39</td>
<td>80.80 ± 5.07</td>
<td>19.20 ± 5.07</td>
</tr>
<tr>
<td>PINW</td>
<td>70.50 ± 6.99</td>
<td>22.06 ± 7.06</td>
<td>6.17 ± 2.71</td>
<td>77.94 ± 7.06</td>
<td>22.06 ± 7.06</td>
</tr>
</tbody>
</table>

3.2. Deposition-Mode Ice Nucleation Activity

There is no measurable correlation observed between ice saturation ratio ($S_{ice}$) and mineralogy, or between $S_{ice}$ and bulk composition of the five ash samples at the temperatures investigated. As shown in Figure 3, all samples have similar $S_{ice}$ values (1.02–1.07) in the depositional mode despite having different compositions and mineral/glass contents. Also shown in Figure 3 are deposition mode results from previous studies examining volcanic ash samples that spanned a range of compositions from basalt (~50 SiO$_2$ wt %) to andesite (~60 SiO$_2$ wt %) to rhyolite (~70 SiO$_2$ wt %). It is clear that despite differences in bulk composition, mineral/glass abundance, and mineral phases, depositional ice nucleation on ash is efficient, with similar $S_{ice}$ values close to 1.0. Two samples—ONW and Taupo—require slightly higher ice saturations to nucleate at the highest temperature, but these values are within the error of all other samples (Figure 3). All $S_{ice}$ values from the present study can be found in Table S2.
with higher wt % ash (Figure 4; Table S3A–C) due to increased available surface area. OB2 initiates (Video S1). Table S3A–C present all frozen fractions calculated from video analyses for the total number 
Table S3B do not always reach a value of 1 at temperatures below that for homogeneous freezing. 
Taupo initiates ice nucleation at \(-25^\circ C\) in both the 1.0 and 2.0 wt % ash slurries. PINW initiates ice nucleation at \(-25^\circ C\) in the 1.0 wt % ash slurry, and the remaining samples (NIW, ONW) initiate ice nucleation at lower temperatures (\(-30^\circ C\)). Larger droplets (>60 \(\mu m\)), which contain more ash particles, have higher frozen fractions than smaller droplets (5–60 \(\mu m\)) at all temperatures investigated (Video S1). Table S3A–C present all frozen fractions calculated from video analyses for the total number of droplets (Table S3A), droplets between 5 and 60 \(\mu m\) in diameter (Table S3B), and droplets >60 \(\mu m\) in diameter (Table S3C). Nucleation events could not be observed in all of the smaller droplets at the lowest temperatures, even though they likely occurred. Because of this, frozen fractions presented in Table S3B do not always reach a value of 1 at temperatures below that for homogeneous freezing.

The average ice nucleation active surface site density (\(n_s\)) values calculated with Equation (3) are shown in Figure 5. Also shown is their relationship to the volcanic ash parameterization curve determined by a previous study [55]. The samples used here generally follow the parameterization, except at the highest temperature (\(-20^\circ C\)) where OB2 shows a value approximately two orders of magnitude higher than that predicted by the trend. OB2 has the highest \(n_s\) value at all temperatures examined, even when compared to Taupo, which is also a rhyolite. The basalt samples (NIW, ONW, PINW) show comparable \(n_s\) values to one of the rhyolite samples (Taupo), but are lower compared to the other (OB2). Because this calculation removes the effects of droplet size and ash concentration, each sample is plotted multiple times using the same symbol. All \(n_s\) values can be found in Table S4.

### 3.3. Immersion-Mode Ice Nucleation Activity

Results from the immersion-mode freezing reveal that the rhyolitic samples nucleate ice at higher temperatures compared to the basaltic samples, and, in general, frozen fractions of samples increase with higher wt % ash (Figure 4; Table S3A–C) due to increased available surface area. OB2 initiates ice nucleation at \(-20^\circ C\) for the 2.0 wt % ash slurry and at \(-25^\circ C\) for both the 0.5 and 1.0 wt % ash slurries. OB2 also has the highest specific surface area (928.5 m\(^2\)/kg) of all the samples (Figure 2B). Taupo initiates ice nucleation at \(-25^\circ C\) in both the 1.0 and 2.0 wt % ash slurries. PINW initiates ice nucleation at \(-25^\circ C\) in the 1.0 wt % ash slurry, and the remaining samples (NIW, ONW) initiate ice nucleation at lower temperatures (\(-30^\circ C\)). Larger droplets (>60 \(\mu m\)), which contain more ash particles, have higher frozen fractions than smaller droplets (5–60 \(\mu m\)) at all temperatures investigated (Video S1). Table S3A–C present all frozen fractions calculated from video analyses for the total number of droplets (Table S3A), droplets between 5 and 60 \(\mu m\) in diameter (Table S3B), and droplets >60 \(\mu m\) in diameter (Table S3C). Nucleation events could not be observed in all of the smaller droplets at the lowest temperatures, even though they likely occurred. Because of this, frozen fractions presented in Table S3B do not always reach a value of 1 at temperatures below that for homogeneous freezing.

![Figure 3](image-url). Ice saturation ratio (\(S_{ice}\)) as a function of temperature for deposition-mode experiments. The closer \(S_{ice}\) is to 1.0, the more efficient the sample is at nucleating ice. Colored circles are averages from three experiments for each sample analyzed in this study. The dashed and solid lines represent water and ice saturation, respectively. The dotted line represents homogeneous ice nucleation of pure water [53]. “X” symbols denote previous deposition-mode nucleation studies conducted on ash samples from other volcanoes, including Eyjafjallajökull, (Iceland) [34,54], Volcán Fuego (Guatemala), Taupo (New Zealand), and Soufrière Hills (Montserrat) [37]. Combined with the deposition-mode data obtained here, results of all studies indicate that volcanic ash grains are efficient ice nucleating particles (INPs) at the temperatures examined regardless of bulk composition or mineral content.
with n values calculated from the immersion freezing ns values at −40 °C. OB2 has the highest ns value at all temperatures investigated by a previous study [55]. The samples used here generally follow the parameterization, are shown in Figure 5.

Results of immersion-mode experiments. Frozen fractions are shown as a function of temperature for the three slurries containing different proportions of ash. OB2 is the only sample that nucleates ice at −20 °C. For all temperatures examined, the 2 wt % ash slurry consistently has a higher frozen fraction due to the higher surface area available for nucleation.

Relationship between ice nucleation active site density (ns) and the bulk K2O content of ash samples. There is a strong linear correlation at −30 to −40 °C (Figure 6), but this correlation progressively diminishes with decreasing temperature. For all temperatures examined, the 2 wt % ash slurry consistently has a higher ns value at all temperatures investigated.

Figure 4. Results of immersion-mode experiments. Frozen fractions are shown as a function of temperature for the three slurries containing different proportions of ash. OB2 consistently has the highest ns value at all temperatures investigated.

Figure 5. Ice nucleation active site density (ns), calculated from the frozen fraction in immersion-mode experiments and measured ash properties. The curve represents a previous parameterization for volcanic ash [55]. OB2 consistently has the highest ns value at all temperatures investigated.

There is no quantifiable relationship observed between ns values and mineral/glass contents of ash samples. Correlations were found by plotting ns values calculated from the immersion freezing experiments with certain major element oxides. K2O has a strong positive correlation ($R^2 = 0.85$) with ns values at −25 °C (Figure 6), but this correlation progressively diminishes with decreasing temperature. There are moderate to strong negative correlations between both decreasing MnO content (Figure 7) and decreasing TiO2 content (Figure 8) with increasing ns values at temperatures from −30 to −40 °C. Correlations are best for MnO at −30 °C and for TiO2 at −35 °C but MnO appears to have a
stronger relationship with \( n_s \) values compared to TiO\(_2\) at some temperatures. No correlations could be observed at \(-20\, ^\circ\text{C}\) because only one sample (OB2) nucleated ice at this temperature.

**Figure 6.** Relationship between ice nucleation active site density \((n_s)\) and the bulk K\(_2\)O content of ash samples. There is a strong linear correlation at \(-25\, ^\circ\text{C}\) which progressively decays with decreasing temperature, indicating that K\(_2\)O may help promote ice nucleation at temperatures well below that for homogeneous nucleation. Each data point represents the average of the three different slurry concentrations, which are themselves average values for the multiple measurements, and error bars indicate the standard deviation.

**Figure 7.** Linear relationship between the ice nucleation active site density \((n_s)\) calculated from results of immersion-mode experiments with the bulk MnO content of ash samples. Correlations are generally stronger at \(-30\) to \(-35\, ^\circ\text{C}\) and are weaker at higher and lower temperatures. Each data point represents the average of the three different slurry concentrations, which are themselves average values for the multiple measurements, and error bars indicate the standard deviation.
Figure 7. Linear relationship between the ice nucleation active site density ($n_s$) calculated from results of immersion-mode experiments with the bulk MnO content of ash samples. Correlations are generally stronger at $-30$ to $-35 \, ^\circ C$ and are weaker at higher and lower temperatures. Each data point represents the average of the three different slurry concentrations, which are themselves average values for the multiple measurements, and error bars indicate the standard deviation.

Figure 8. Linear relationship between the ice nucleation active site density ($n_s$) calculated from results of immersion-mode experiments with the bulk TiO$_2$ content of ash samples. The correlation is strongest at $-35 \, ^\circ C$ and weaker at both higher and lower temperatures. Each data point represents the average of the three different slurry concentrations, which are themselves average values for the multiple measurements, and error bars indicate the standard deviation.

4. Discussion

4.1. Deposition-Mode Ice Nucleation Activity

Depositional freezing experiments in this study were conducted in the same laboratory, utilizing the same instruments and procedures as previous work on volcanic ash [37]; thus, the results presented here are directly comparable. In this previous study [37], three ash samples were tested in both deposition mode and immersion mode and it was suggested that the presence of Na/Ca feldspars dictates the efficiency of depositional ice nucleation, for it was shown that all three samples were efficient INPs ($S_{\text{ice}} = 1.05 \pm 0.01$) over the same range of temperatures examined here. Feldspar minerals, specifically orthoclase and plagioclase, have previously been shown to be efficient depositional INPs when compared to mineral dust originating from Arizona and the Mojave Desert [30]. Findings in this study support this, as all five samples contain plagioclase based on XRD results (Figures S4–S8). Other deposition-mode experiments conducted on volcanic ash attribute improved INA to the presence of a crystallographic structure [34], yet two of the samples used here (OB2 and Taupo) are dominated by amorphous glass and show similar values to samples containing higher mineral contents. Thus, results presented here indicate that differences in mineral/glass abundance do not affect depositional INA at the limited range of temperatures investigated (Figure 3). However, there may be a bulk compositional effect at higher temperatures. Mechanical grinding of the grains may alter sites for ice formation and increase INA [31,37,38], and this may explain the deposition mode results, since all samples were mechanically milled to homogenize grain shape and size prior to the experiments. However, this apparent efficiency may simply result from all of the surface area available on which ice can nucleate (i.e., overseeding [8]), suggesting that all ash samples used here have similar values due to their similar surface areas.
4.2. Immersion-Mode Ice Nucleation Activity

Efficiency of immersion INPs has previously been attributed to particle mineralogy. Kaolinite is found to be efficient in the immersion mode using the cold stage method [55, 56]. K-feldspars and quartz were found to be the two most efficient immersion INPs in mineral dust even though they were found in low weight percentages in samples [29]. In studies of volcanic ash, immersion INA has been either attributed to quartz [37], Na-feldspar [38], or K-feldspars [57]. These previous studies focus on the roles of particular minerals instead of bulk chemical composition, yet volcanic ash can contain many different elements present in an amorphous glass phase in addition to those existing in a crystallographic structure. SEM examination confirms that both OB2 and Taupo are dominated by amorphous glass (>90%) which likely contains some quartz and feldspar (with similar backscatter coefficients to the glass) as OB2 was originally an obsidian and Taupo was a crystal-poor pumice clast. Representative backscattered SEM images of all samples can be found in Figures S1 and S2. XRD analyses confirm that both samples contain albite, a plagioclase feldspar, and only Taupo contains orthoclase, a K-feldspar. All samples utilized for these experiments contain various amounts of plagioclase feldspar and magnetite (the latter of which routinely contains Ti). OB2 nucleates ice at the highest temperature (−20°C; Table S3A–C), has an \( n_s \) value above the volcanic ash parameterization at this temperature, and consistently has the highest \( n_s \) value at all temperatures investigated (Figure 5). OB2 also has the highest surface area and the smallest average grain size compared to the other samples (Figure 2B). However, OB2 also contains the highest amount of K\(_2\)O and the lowest amount of MnO (Table 1), suggesting that composition may also play a role.

4.3. Compositional Effects

Correlations between \( n_s \) values and particular oxide abundances may relate to the charge density and size of cations and their differing abilities to promote the crystallization of ice on ash surfaces. K\(^+\), due to its similar ionic radius to OH\(^-\) (1.33 Å) [58] and low charge density, is highly reactive with H\(_2\)O and may more easily coordinate with the ice crystal structure [31], contributing to ice nucleation. Smaller ions with higher charge densities, such as Mn\(^{2+}\) and Ti\(^{4+}\), may not fit as well into the ice crystal structure [31], inhibiting ice nucleation. The correlations observed here are much stronger for MnO than for TiO\(_2\) and K\(_2\)O, but these correlations are strongest at different temperatures for the different oxides. At higher temperatures (−25°C), the presence of K\(^+\) appears to promote ice nucleation on the rhyolitic ash. Conversely, the smaller transition metal cations, Mn\(^{2+}\) and Ti\(^{4+}\), may simultaneously inhibit ice nucleation, but this latter effect is more significant at lower temperatures (−35 to −30°C). Because X-ray fluorescence (XRF) measures only the bulk percentage of elements, these results cannot determine how different oxidation states of the transition metals may be contributing. For many silicate magmas, as composition evolves from basalt to rhyolite, bulk K\(_2\)O content will increase as MnO and TiO\(_2\) contents decrease. OB2 contains the highest amount of K\(_2\)O (4.21 wt %), lowest amount of MnO (0.05 wt %), and second lowest amount of TiO\(_2\) (0.36 wt %), and is the only sample to nucleate at the highest temperature examined (−20°C). Ash with much higher K\(_2\)O content (>>10 wt %) may display more efficient behavior compared to our samples, since previous studies have shown K-feldspars to be efficient nuclei in the immersion mode [29, 57].

A recent study [33] attributed the INA of K-feldspar minerals to defects on the crystal surface. Grain surface defects may also contribute to the results presented here, as the pre-experimental milling procedure was utilized to effectively homogenize grain size and shape, and potentially created a number of surface defects on existing minerals in the ash. The sample with the highest \( n_s \) at all temperatures (OB2) contained very few minerals and was dominated by glass, which lacks a crystallographic structure, suggesting that the lack of crystal structures may promote efficiency of ice nucleation in a comparable way to surface defects on minerals. However, Taupo was another sample tested with a high glass content, and it had lower \( n_s \) values than OB2 at all temperatures examined. Surface area of ash available for nucleation will of course be a significant factor, as the sample with the largest surface area and smallest average grain size (OB2) consistently has the highest \( n_s \) value.
and was the only sample to nucleate ice at the highest temperature (−20 °C). OB2 also had the highest amount of K₂O. Samples that nucleated at −25 °C included OB2 and the samples with the second highest and third highest bulk amounts of K₂O (Taupo and PINW; Table 1).

4.4. Lightning Generation in Volcanic Plumes

During explosive volcanic eruptions, the fragmentation efficiency of the magma (i.e., how well the magma is broken apart) is dictated by explosion energy and controls the proportion of very fine ash generated. The altitude in the atmosphere to which that ash ascends is controlled by explosion energy and the size and density of the particles. Finer ash will reach higher altitudes and may eventually reach the appropriate isotherm to allow ice nucleation. The study of volcanic lightning is still in its infancy, but it is hypothesized that plume lightning may result from triboelectrification due to ice–ash or ice–ice particle collisions [2,5,6]. The generation of electricity in thunderstorms is largely through the noninductive ice–ice charging mechanism (e.g., [59–61]), and a similar process is likely occurring in volcanic plumes, where ash results in an overseeding of ice crystals [8]. As ash concentrations in plumes may be up to 1000 cm⁻³ [37], a higher abundance of smaller ash particles will increase the likelihood of ice nucleation. Highly explosive, very fine ash-producing eruptions are sometimes driven by the sudden decompression of H₂O-saturated, silica-rich magmas with bulk compositions and mineral phases similar to those of OB2 and Taupo (which are both sourced from highly explosive, caldera-forming volcanic centers). These results suggest that ash enriched in K₂O will promote nucleation at higher temperatures compared to ash enriched in MnO and TiO₂. These findings support previous observations which indicate that larger explosions are more likely to produce lightning than smaller explosions [5] and that the height of the eruption column will correlate with the number of lightning discharges [7,10], as larger explosions create more ash particles of smaller sizes and are sometimes sourced from magmas with evolved compositions. There are many other characteristics of volcanic plumes that may contribute to lightning generation that cannot be constrained by the study presented here, as the relationship between INA and volcanic ash composition represents only one aspect of the processes involved. In addition to a better constraint of volcanic lightning generation, results of this study may be relevant for studies of ice nucleation, lightning generation, and climate effects during preindustrial times following large-scale explosive volcanic eruptions.

5. Conclusions

The results presented here pose important implications for volcanic ash INA, and, subsequently, hydrometeor formation and lightning generation following explosive eruptions. Since the samples utilized were mechanically milled prior to experiments to best homogenize grain size and shape, relationships between INA and composition/mineralogy were determined. In the deposition mode, there is no significant difference in ice saturation ratios between samples of different compositions over the range of temperatures investigated, as all display values close to 1.0. In the immersion mode, results indicate that more ash and finer particles create more available surface area, increasing the likelihood for ice nucleation. Additionally, if volcanic ash generally behaves like the samples used here, then higher K₂O and lower MnO and TiO₂ could promote ice nucleation at higher temperatures than that required for homogeneous nucleation. Consequently, highly explosive eruptions of compositionally evolved magmas create the very fine ash particles necessary to promote ice nucleation on grain surfaces, which permits tribocharging due to ice–ice or ice–ash collisions, and contributes to the frequent occurrence of volcanic lightning within the eruptive column and plume during these events.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4433/9/7/238/s1. Table S1. Sample information. Figure S1. Backscattered electron images of polished ash grain mounts from the Lathrop Wells samples. Figure S2. Backscattered electron images of polished ash grain mounts from the Valles Caldera and Taupo samples. Figure S3. Photographs of ice nucleation on ash grains during deposition-mode experiments. Figure S4. X-ray diffraction spectra of sample OB2. Figure S5. X-ray diffraction spectra of sample Taupo. Figure S6. X-ray diffraction spectra of sample NiW. Figure S7. X-ray diffraction spectra of sample ONW. Figure S8. X-ray diffraction spectra of sample PINW. Table S2. Calculated S_{ice} values. Table S3A–C. Immersion
mode results. Table S4. Calculated ice nucleation site density values. Video S1. Example of one complete immersion-mode ice nucleation experiment.

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**Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

**References**


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