INTEGRATING 'E' AND 'P': BUILDING A NEW MONOGRAPH APPROVAL INFRASTRUCTURE

Sarah Forzetting, Ingram Academic
Gabrielle Wiersma, University of Colorado Boulder
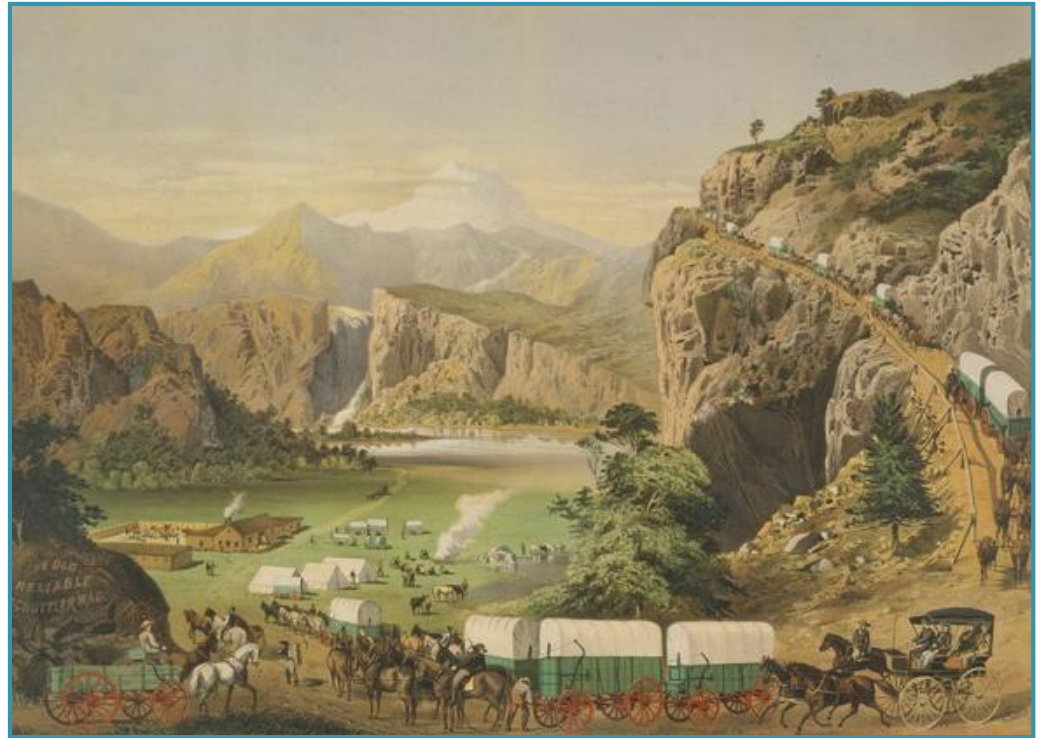Rebecca Schroeder, Brigham Young University
Jenny Hudson, YBP Library Services

June 23, 2012

ALA ANAHEIM

2012 ANNUAL CONFERENCE & EXHIBITION
TRANSFORMING OUR LIBRARIES, OURSELVES
ANAHEIM, CA / JUNE 21–26, 2012

Pioneering 'e' & 'p' Integration

- University of Colorado Boulder
  - Ingram, MyiLibrary
  - Fall, 2010

- Brigham Young University
  - YBP, ebrary
  - Fall, 2010

Courtesy of UC Berkeley, Bancroft Library
http://content.cdlib.org/ark:/13030/tf3q2nb5r3/?order=1

Lessons from the Frontier

- Goals

- Challenges

- Vendor partnership

- Recommendations

University Libraries

- Norlin Library

- Business Library

- Earth Sciences & Maps Libraries

- Engineering, Math, and Physics Library

- Music Library

Photographer: Ken Abbott

Growth of eBooks at CU

- 2000-2004
  - Colorado Alliance patron-driven purchase plan with NetLibrary
- 2004-Present
  - Subject collections: Early English Books Online , Eighteenth Century Collections Online, ACLS Humanities, Knovel, Safari Tech Books Online, CRCnetBASE
  - Reference works and collections
- 2009-Present
  - Resumed purchasing on a title-by-title basis
  - Began purchasing directly from publishers (Springer, Duke)
  - Patron Select (PDA) with Ingram
  - Integrated eBooks and print into approval plan

Goals and Benefits

- ☐ Streamline selection and acquisition of print and eBooks

- ☐ Select and acquire frontlist books on a title-by-title basis

- ☐ Prevent duplication with print and other eBook packages

- ☐ Meet needs of 30 Subject Specialists/Selectors

- ☐ Provide users with materials in their preferred format

- ☐ Experiment with patron driven acquisitions

- ☐ Save time and money

Office.com

Virtual Approval

- An approval plan is a systematic way to identify and select materials for your collection

  - Automatically ship materials

  - Review →Reject/Select→ Ship materials

  - 30 Day Review Period

Approval Plan Profile

- ☐ Call Numbers

- ☐ Subjects

- ☐ Publishers

- ☐ Non-Subject Parameters

- ☐ Preferred Format

- ☐ Acquisition type: firm order, approval order, or patron select (PDA)

Streamlined Workflows for 'p' & 'e'

Selectors
- Selectors review lists of titles that match our profile in OASIS
- Approve/Reject Approval Books
- Firm Order Slips

Acquisitions
- Acquisitions Approves orders
- Acquisitions downloads order records and MARC records
- Acquisitions sends invoices to Cataloging

Cataloging
- Cataloging overlays vendor MARC record with an OCLC record
- Cataloging adds a 956 My iLibrary

University **Libraries**
UNIVERSITY OF COLORADO BOULDER

Assessment

| Order Type	Fall 2010		2011		Jan-May 2012	
Print Approval Books	1,160	43%	11,507	69%	4,976	73%
Print Firm Orders	1,451	54%	4,423	27%	1,435	21%
Patron Select (PDA) eBooks	36	1%	160	1%	238	4%
Firm Order eBooks	28	1%	230	1%	45	1%
Approval eBooks	2	0%	341	2%	84	1%
TOTAL	2,677		16,661		6,778	

Challenges

- eBook Availability
- Publishing Delays
- Cost of eBooks v. Print

Office.com

Growth of Approval eBooks
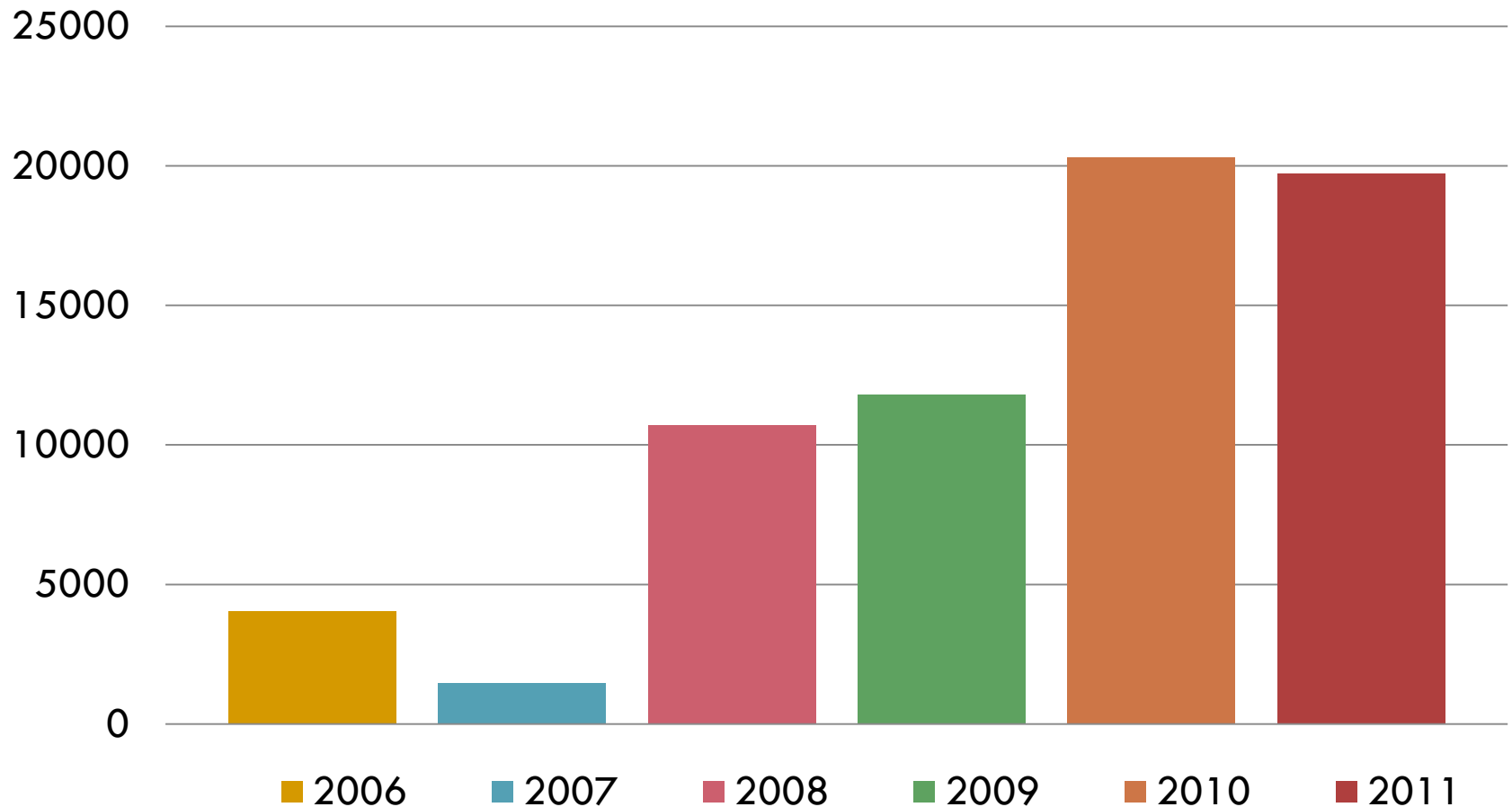
# Ingram Approval Plan Coverage: Print v. eBooks
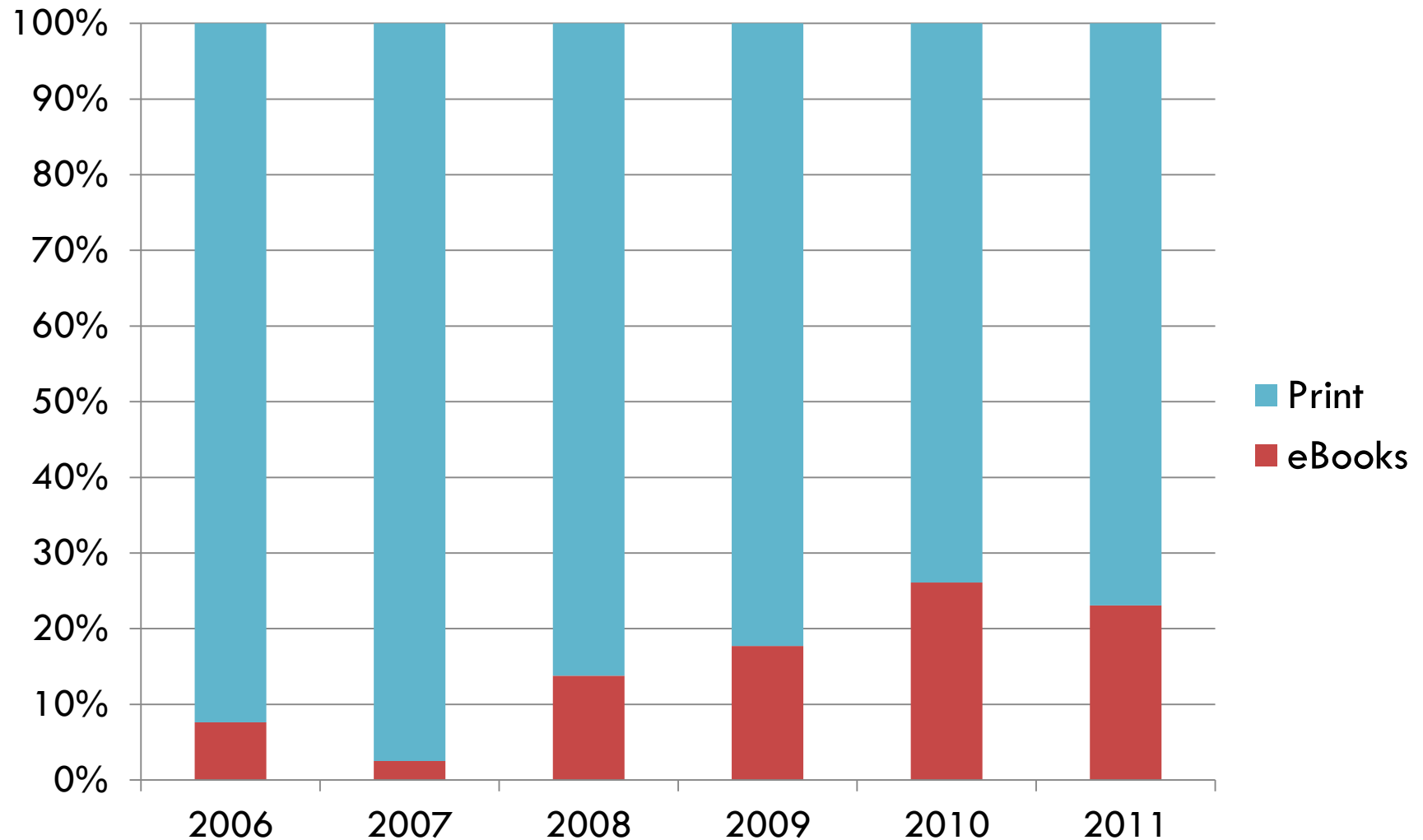
Publishing Sequences

- Cloth/HB → Paperback → eBook

- Cloth/HB → eBook → Paperback

- eBook → Cloth/HB → Paperback

- Simultaneous e and p

- No eBook

Average Delay Between 'p' & 'e'

Avg # Days Print is Available Before eBook	2008	2009	2010	2011
Humanities	121	43	23	21
Social Sciences	136	41	17	5
Sciences	238	99	25	22
Overall	185	67	21	-1
	n=5,103	n=8,018	n=15,103	n=13,715

Average Approval Book Prices
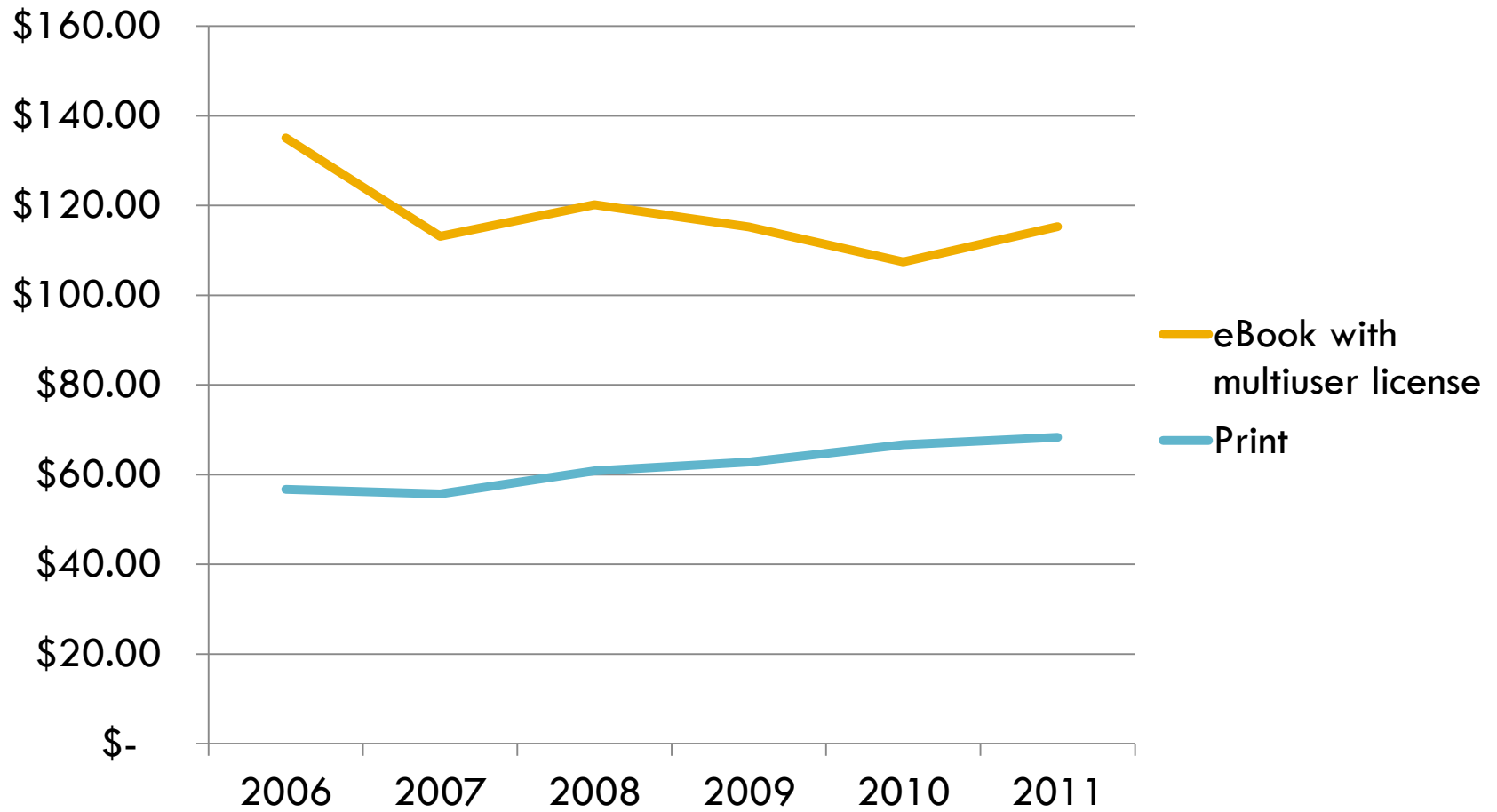
2011 Average Approval eBook Prices

- 19,734 Approval eBooks

- Actual Cost: $2,275,255.31

- Average Cost Per eBook: $115.30
  - Humanities: $100
  - Social Sciences: $115
  - Sciences: $137

- single user approx. 20-25% over print list price

- multiuser approx. 50-55% over print list price

University of Colorado Boulder

Goals

- ✓ Streamline selection and acquisition of print and eBooks
- ✓ Select and acquire frontlist books on a title-by-title basis
- ✓ Prevent duplication with print and other eBook packages
- ✓ Meet needs of 30 Subject Specialists/Selectors
- ✓ Provide users with materials in their preferred format
- ✓ Experiment with patron driven acquisitions
- ✓ Save time and money

Challenges

- ☐ eBook Availability
- ☐ Publishing Delays
- ☐ Cost of eBooks v. Print

INGRAM | ⓘ MyiLibrary®

- E-book profiling

- Print "On Hold" wait period

- Method of acquisition

- Selection on OASIS

eBook Profiling – Managing the record

INGRAM.

Title	American sunshine : diseases of darkness and the quest for natural light
Author	Freund, Daniel.
Edition	Newly Published Works
Price	40.00 USD
	48.14 AUD
	26.00 GBP
EAN/ISBN	9780226262819
ISBN-10	0226262812
See Also	9786613529947 Chicago ; University of Chicago Press (MyiLibrary Electronic Book) 90 USD
Bibliographic Format	Cloth/HB
Readership	General - Academic
LC Subject Headings	Sunshine--Environmental aspects.
	Urban ecology (Sociology)--United States.
	Climatotherapy.
Coutts Subject Terms	Sociological
	Environmental Studies
Geographic	United States
BIC	History of science
	Ecological science, the Biosphere

In Stock

Detailed print description on OASIS

Brief e-book description on MyiLibrary

Home » Search Results » Product Detail

Product Detail

American Sunshine: Diseases of Darkness and the Quest for Natural Light
Written By: Freund, Daniel
Published By: University of Chicago Press
Published In: 2012
MIL EAN/ISBN: 9786613529947
Pub e-EAN/ISBN: 9780226262833
Hardcover EAN/ISBN: 9780226262819
Paper EAN/ISBN:
Related Subjects:
Open now »

☐ Parameters mirror print profile

Title	Handbook of Human-Machine Interaction
Author	Guy A. Boy
Price	249.90 USD *(Multi-user Price)*
	149.94 USD *(Single-user Price)*
EAN/ISBN	9786613047779
ISBN-10	6613047775
See Also	9780754675808 Farnham, UK : Ashgate (Cloth/HB) 118.94 USD
Book Type	Edited Work
Bibliographic Format	MyiLibrary Electronic Book
Readership	Upper Undergraduate
	Graduate - Research
LC Subject Headings	Human-machine systems--Handbooks manuals etc.
	Human engineering--Handbooks manuals etc.
	User-centered system design--Handbooks manuals etc.
	Employees--Effect of technological innovations on.
Coutts Subject Terms	Science/Technology

eBOOK AVAILABLE

- Identify MyiLibrary publishers by discipline

- Wait [X] days for eBook to become available

Land of the unconquerable : the lives of contemporary Afghan women / edited by Jennifer Heath and Ashraf Zahedi. Berkeley, CA : University of California Press, c2011. xi, 393 p. : ill., map ; 24 cm. [Includes bibliographical references and index. ; Avail. in cloth.]

University of California Press   2011   HQ1735.6

ISBN/EAN: 9780520261860 [0-520-26186-0] *On Hold for Electronic Book Until Aug 1, 2011* Order Info
(Paperback 27.50 USD) (All Coutts Demand: 24)
Coutts Accepts Orders *Approval Treatment*

Action

- Mark to Accept this Edition
- Accept this Edition Now/Remove from List
- Mark to Reject this Edition
- Reject this Edition Now/Remove from List
- List/Forward
- Order Info
- Download
- Clear Action Now

Methods of eBook Acquisition

- **Letting Patrons Select**

    - Title must match profile parameters

    - Bibliographers make fewer title-by-title decisions

    - Casts a wide net → works well for interdisciplinary subjects

- **Approval Selection**

    - Bibliographer vets selections

        - Option to reject a title on OASIS

    - More control of quality and volume

CU e-Preferences

INGRAM®

all available e-books on Approval

- Economics
- Library science

all available e-books to Patron Select

- Sciences
- Social sciences

Only Edited Works e-books on Approval

- History

Only Edited Works e-books to Patron Select

- Sociology
- Communication Studies
- Political science

All Reference e-books on Approval

- all subjects

INGRAM.

OASIS™

☐ Review approval titles each week → accept or reject

☐ Review library group rejects → accept what someone else rejected

☐ Review new Patron Select titles by week

☐ "Claim" alternate format

Taming the Wild West

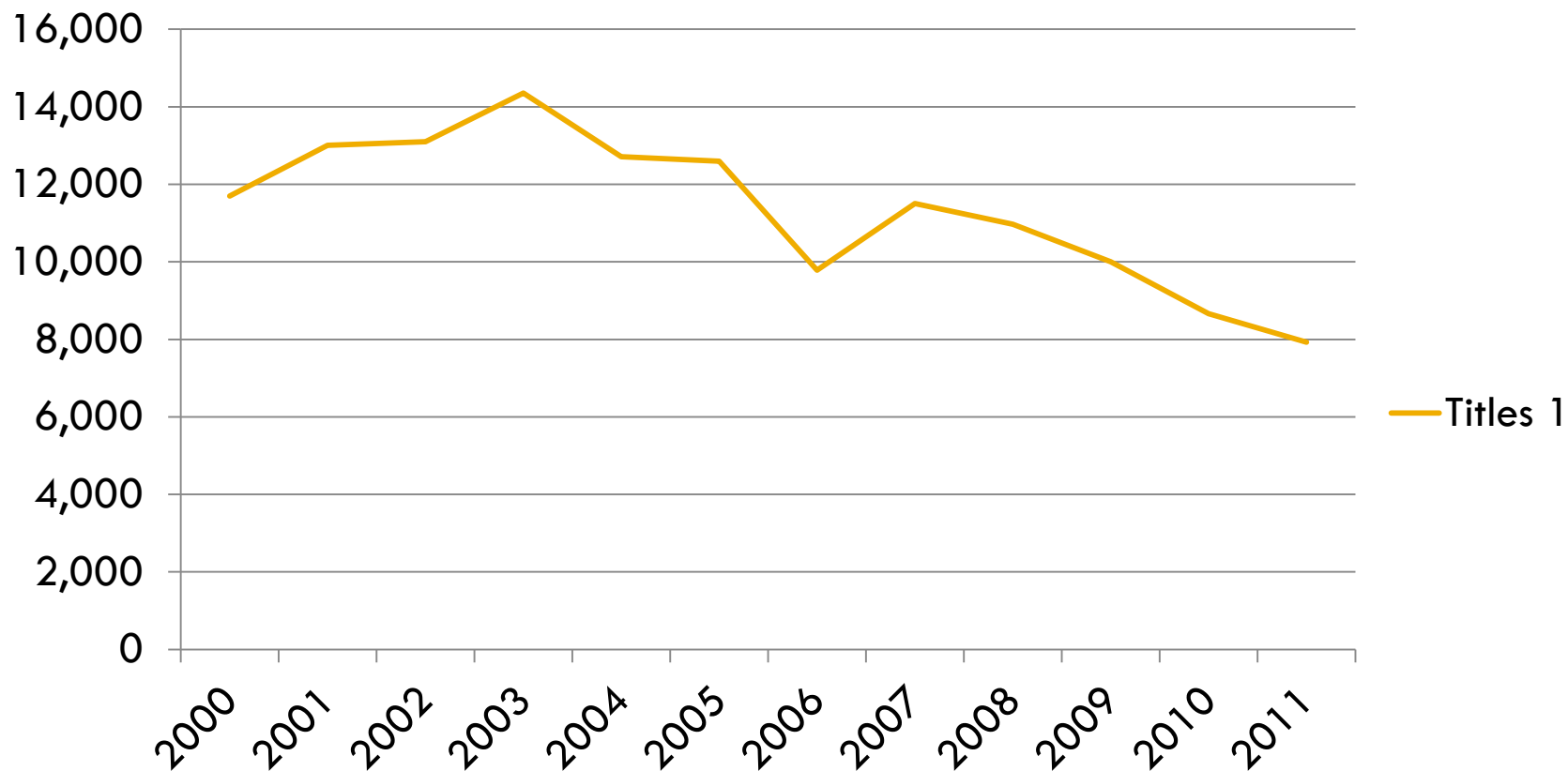- ☐ Timing

- ☐ Options

- ☐ Building

Print approval plan

- In place since 1993

- LC based

- Fund codes

- Two print profiles (US & UK)

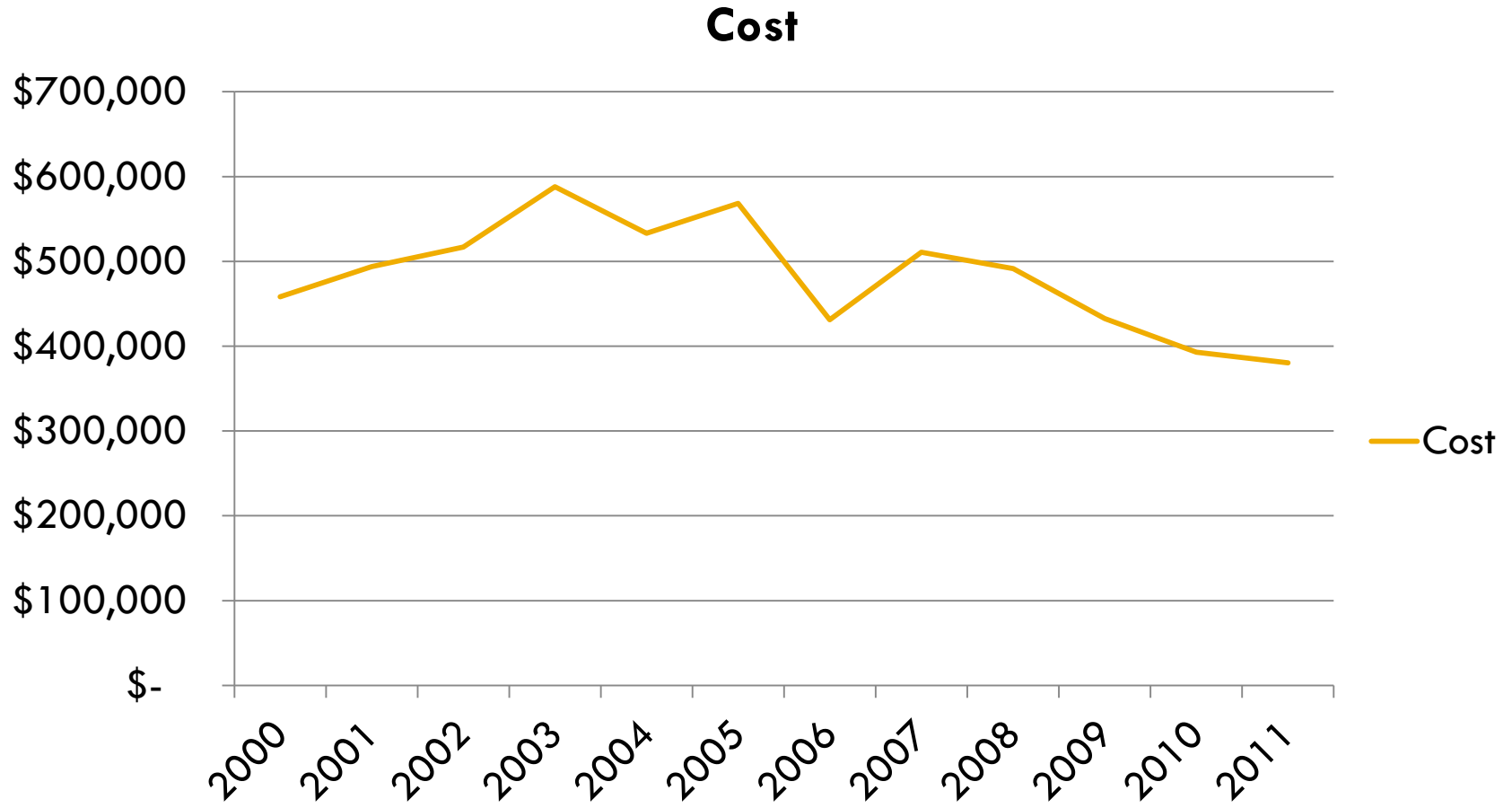- Special collections and Awards coverage are covered separately

http://jacksonholejournal.net/advertising.html

YBP Approval plan - print

Titles

Legend: Titles 1

X-axis: 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011

Y-axis: 0 to 16,000

YBP Approval Plan - print

Cost

BYU
BRIGHAM YOUNG
UNIVERSITY

- ☐ Frustration with different purchasing options, inflexible purchasing models, and inconsistent pricing

- ☐ Purchasing title-by-title slow and costly

- ☐ Use of print materials dropping dramatically

Bill Cody

http://www.iaphomepage.org/int308/no308pg1.html

Goals in Approval exploration

- Accommodate patron request for eMaterial

- Save time

- Save money

Bill Cody

http://www.iaphomepage.org/int308/no308pg1.html

e-Preferred requirements

- Mirror print approval plan

- Preview before purchase

- Available for select subject areas

- Avoid duplication

- Marc records

- Specify aggregator

Concerns from subject selectors

- ☐ Is 'e' the best format?

- ☐ Pricing

- ☐ Non-returnable

- ☐ Delay in release of 'e'

Reproduced from the Collections of the Library of Congress
http://cbelan.free.fr/documents/settlingthewest.htm

Virtual Review

YBP Approvals Sortable Table

BYU | Harold B. Lee Library

Fund ID	CallNum	Title	Author	Pubisher	ISBN
11390005164034219	TR 000594 .M33 2012	The art and science of HDR imaging			9780470666227
11390005164034215	N 000071.5 .P75X 2011	Art of insanity : an analysis of ten schizophrenic artists			9780983248002
11390005164034219	TR 000647 .A336 A4X 1978A	Prairie : photographs			9780300180534
11390005164034219	TR 000660.5 .A3458X 2011	Sea stories			9780300180046
11390005164034215	N 006537 .S6173 A4X 2011	Robert E. Smith			9780913785027

Approval plan 'p' and 'e' Number of titles
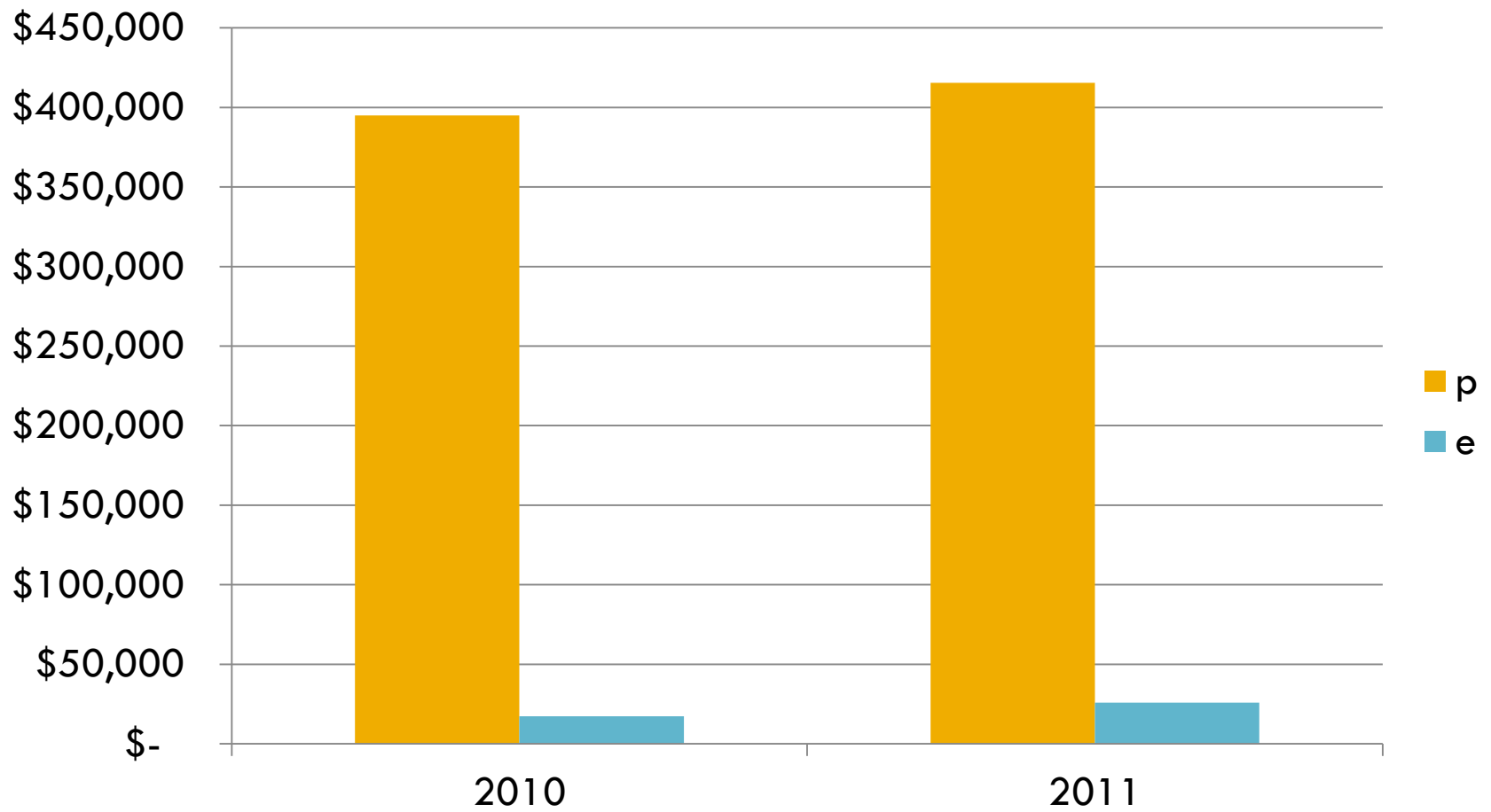
Addition of DDA

□ Requirements

    ◻ Adjust the universe of books by using our approval plan

    ◻ Selected subject Areas

    ◻ De-duplication

http://www.life123.com/sports/vacations-vacation-planning/southwest/utah-vacations.shtml

Addition of DDA

- ☐ Implementation
  - ◻ Set up a separate DDA plan

  - ◻ Selected ebrary as aggregator

  - ◻ Deposit account

  - ◻ Marc records

http://www.life123.com/sports/vacations-vacation-planning/southwest/utah-vacations.shtml

Number of DDA Titles

- Accessible
- Purchased
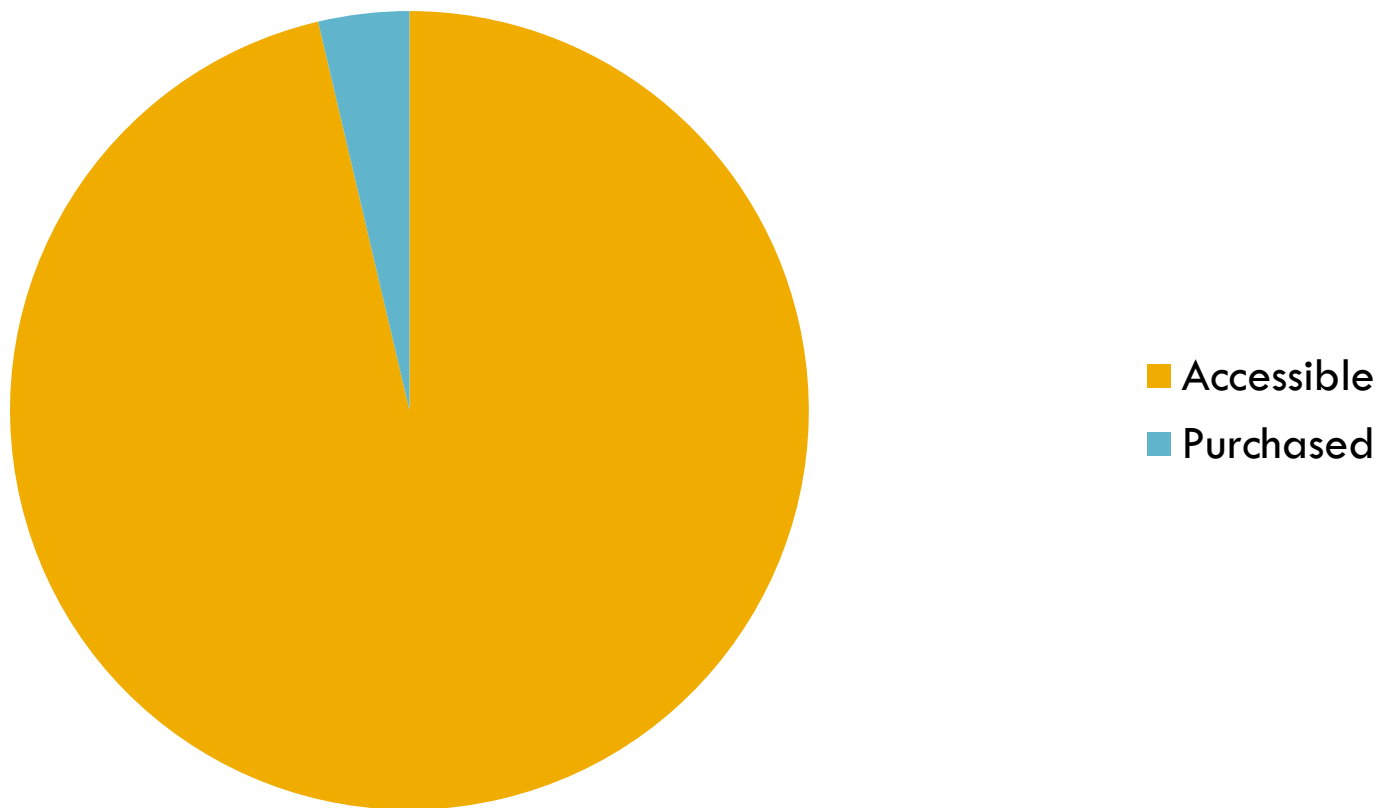
Cost of DDA Titles
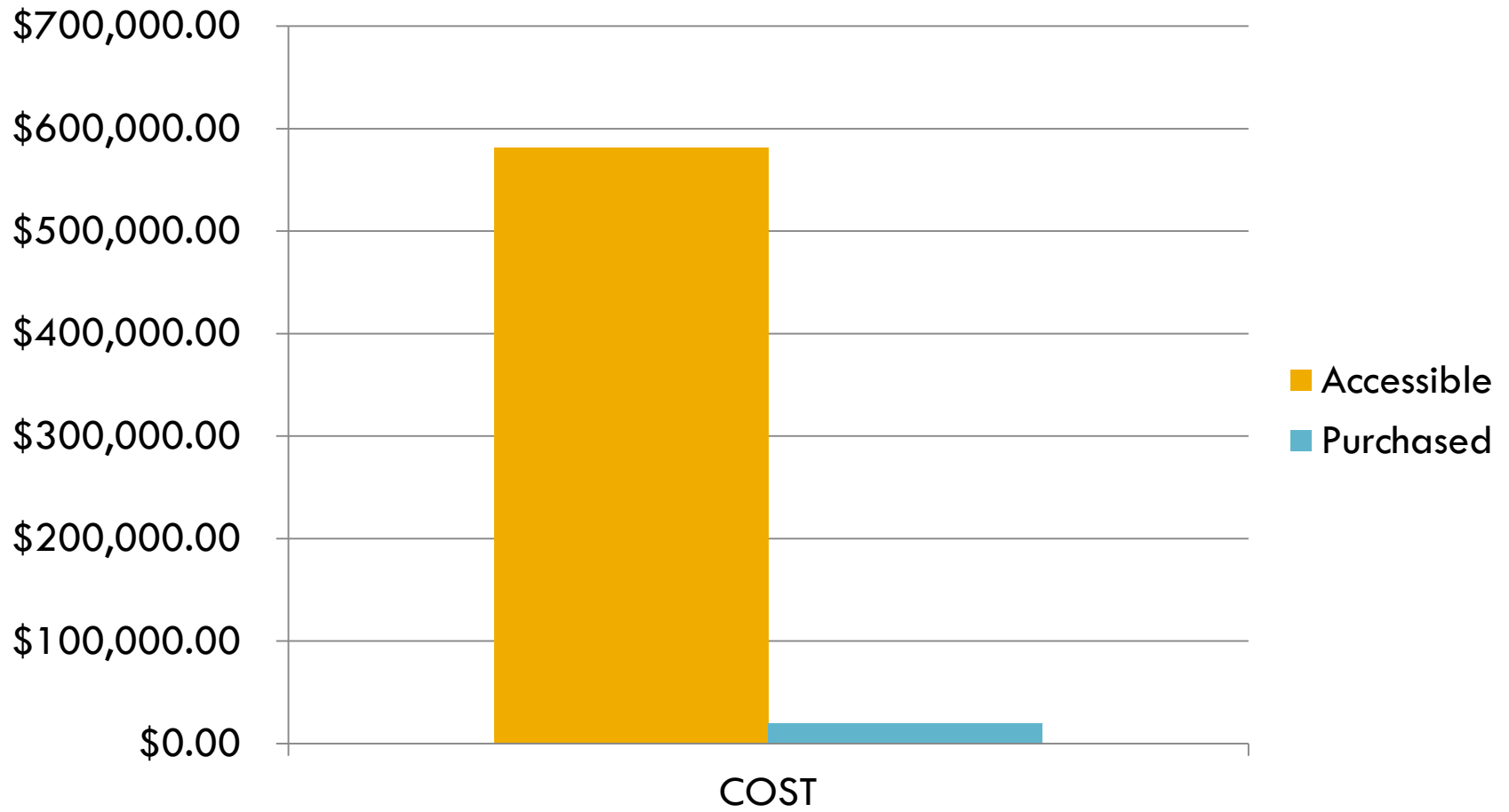
Effect on Collection Development

- Notion of building collections

- Access versus ownership

- More centralized

- Budget

http://www.flag-post.com/july-24-celebrating-pioneer-day-utah/

Affects of 'e' approval

- Budgets

- Workflow

- Packages

http://www.menupix.com/provo/n/44313/Provo-restaurants/page_2

BAKER & TAYLOR
YBP Library Services

the future delivered

eContent at YBP Library Services

- YBP offers eContent in many forms: on approval, firm order, publisher packages, reference works, and through DDA.

- Partner with multiple aggregators: ebrary, EBL, EBSCOHost and a variety of publishers

- GOBI record shows all eBook versions and offers previews

BAKER & TAYLOR
YBP Library Services
the future delivered

A History of the Relationship between YBP and BYU

- ☐ 1993 - Approval coverage began for print material

- ☐ 2008 - Firm order eBooks through YBP

- ☐ June 2010 - Integrate eBooks into approval coverage

- ☐ July 2011 - Implement DDA with ebrary

- ☐ July 2012 - Implement Multiple-vendor DDA

The first steps:

- ☐ eBook approval specifications

- ☐ Aggregator selection and preference cascade

- ☐ Approval Book Shelf (ABS) or "auto-ship"

- ☐ YBP Cataloging or aggregator records

http://pamhowellsanders.com/?tag=overland-trail

BYU/YBP Library approval requirements

☐ Only certain selectors were interested in eBooks

☐ BYU wanted to see multiple suppliers (EBL, ebrary, publisher content, etc.)

☐ ePreferred on approval +8 week hold

☐ Wanted to review the eBooks before they were invoiced - Approval Book Shelf

☐ Wanted to know of new eBook versions even if they had already been notified of print

BAKER & TAYLOR
YBP Library Services
the future delivered

Include Y/N	Priority	Supplier and Options
Y	4	EBL Non Linear
Y	5	EBL Unlimited
Y	6	EBL Textbook
Y	2	ebrary SUPO
Y	3	ebrary MUPO
Y	7	EBSCOHost
Y	8	Specific Publishers (sold by the publisher)
Y	1	Wiley

GOBI THREE

Keyword   Search

JHUDSON (8116) | Contact | Help | Site Map | Log Out

Approval Bookshelf   Select Cart   Order Cart

Search | Notifications | Folders | Reports | Options | Library | Links

Sort ▾   Filter OFF ▾   Approval Bookshelf Current: 25

Marked:0   Accept | Reject | Undo Reject | Hold | Undo Hold | Request DDA

✓ 16   ? 0   ✋ 0   25 / Est. Net 1,921.85 USD   25 / List 1,921.85 USD
👎 4   0 / Est. Net 0.00 GBP   0 / List 0.00 GBP

20 ▾ Page 1 of 2 >>

✓

BERLIN ON THE BRINK

This item is eligible and will auto-release on 6/16/2012.
Title: BERLIN ON THE BRINK: THE BLOCKADE, THE AIRLIFT, AND THE EARLY COLD WAR.
Author: HARRINGTON, DANIEL F
Publisher: UNIV PR OF KENTUCKY   Place of Publication: LEXINGTON
Pub Year: 2012   ISBN: 9780813136141
Binding: eBook   Pagination: 414 P.
Content Level: GEN-AC
LC Class: DD881.H318 2012
Subject Headings: BERLIN (GERMANY)--HIST.--BLOCKADE, 1948-1949.
Approval Note: REVISED DISSERTATION. INCL. B&W ILLUS.
                          LCCN: 2012-4169   OCLC: OCN793206734
                                        NON-RETURN/NON-CANCEL YBP

on Approval Bookshelf(6/9/2012)
GobiTween (2 Books/3 Slips)
Peer (2 Slips)
alternate editions
library activity (17 sold)

Supplier	Purchase Option	Library DDA	List Price	Status	Library Availability	Preview
+ ebrary	Multiple User Option (MUPO)		60.00 USD	Available	Yes	Preview
− ebrary	Single User Option (SUPO)	Yes	40.00 USD	Available	Yes	Preview
Downloadable: Yes			Available To Consortia: No			
Date Added: 2/3/2012			Handled On Approval YBP-US: 6/13/2012			
+ EBSCOhost	1 User		40.00 USD	Available	Yes	

Added to Bookshelf: 6/9/2012   Profiled Subaccount: 8116-11 APPROVAL
Library Note: Add...

Subaccount: 8116-23   Quantity: 1   Fund Code: 34131   Alert: APPROVAL
Supplier: ebrary   Purchase Option: Single User Option (SUPO)

GOBI THREE

Keyword ▼ | [ ] | Search

📊 Approval Bookshelf   📇 Select Cart   📇 Order Cart

Search | **Notifications** | **Folders** | **Reports** | **Options** | **Library** | **Links**

📑 Sort ▼   🔻 Filter OFF ▼   Approval Bookshelf Current: 25   🔲 📄 📇

Marked:0 📝 📝 ✖   👍 Accept | 💬 Reject | 🚫 Undo Reject | ✋ Hold | 🚫 Undo Hold | 👥 Request DDA   📋 ✉ 💾 🖨

✓ 16   ❓ 0   ✋ 0   25 / Est. Net 1,921.85 USD   25 / List 1,921.85 USD
👎 4   0 / Est. Net 0.00 GBP   0 / List 0.00 GBP   20 ▼   Page **1** of 2 >>

✓	BERLIN ON THE BRINK: THE BLOCKADE, THE AIRLIFT, AND THE EARLY COLD WAR.	HARRINGTON, DANIEL F	eBook	2012
	APPROVAL BOOKSHELF ACKNOWLEDGEMENT BIODIVERSITY IN DEAD WOOD.	STOKLAND, JOGEIR N	eBook	2012
	APPROVAL BOOKSHELF ACKNOWLEDGEMENT CELLS TO CIVILIZATIONS: THE PRINCIPLES OF CHANGE THAT SHAPE LIFE.	COEN, ENRICO	eBook	2012
👎✓	COSMOPOLITANIZATION OF SCIENCE: STEM CELL GOVERNANCE IN CHINA.	ZHANG, JOY YUEYUE, 1981-	eBook	2012
👎✓	DESTRUCTION OF HAZARDOUS CHEMICALS IN THE LABORATORY.	LUNN, GEORGE	eBook	2012
	APPROVAL BOOKSHELF ACKNOWLEDGEMENT HISTORY OF THE METEOROLOGICAL OFFICE.	WALKER, J. M. (JOHN MALCOLM), 1942-	eBook	2012
	APPROVAL BOOKSHELF ACKNOWLEDGEMENT HOW THE BRAIN GOT LANGUAGE: THE MIRROR SYSTEM HYPOTHESIS.	ARBIB, MICHAEL A	eBook	2012
✓	INPATIENT PSYCHIATRIC NURSING: CLINICAL STRATEGIES & PRACTICAL INTERVENTIONS		eBook	2012
	APPROVAL BOOKSHELF ACKNOWLEDGEMENT INTERVENTION RESEARCH: DESIGNING, CONDUCTING, ANALYZING, AND FUNDING		eBook	2012
✓	INTRODUCTION TO LASER TECHNOLOGY.	HITZ, C. BRECK	eBook	2012

BAKER & TAYLOR
YBP Library Services

the future delivered

- ☐ Concern about cost
  - ◼ eBooks cost more
  - ◼ eBooks are non-returnable
- ☐ A new workflow
- ☐ Some eBooks come out well after print
- ☐ Interdisciplinary subject overrides

http://history.utah.gov/experience_history/visit_historic_places/trail.html

A New DDA Profile

- The selectors that wanted eBooks were not the same as those that were interested in DDA

- Were looking for additional filters beyond their main profile

Including older eBooks

BYU decided to include eBooks from their "older eBooks books" slip plan. Once a title is newly availble as an eBook, it should be included on DDA if it has not already been purchased

A list of retrospective titles

DDA Challenges

Descent of western flank of the Blue Mountains, Oregon Trail, 1849 (Cross 1850) (OrHi 35, 575). http://www.nps.gov/history/history/online_books/joda/hrs/hrs5.htm

- ☐ Fear of overspending
- ☐ Fear of unwanted material being purchased
- ☐ Small initial DDA pool
- ☐ Confusion with ePreferred
- ☐ Duplication with the main plan

BAKER&TAYLOR
YBP Library Services
the future delivered

- ☐ Expanding ePreferred coverage

- ☐ Adding more funds to DDA coverage

- ☐ Manual DDA

- ☐ Multi-vendor DDA

- ☐ The next big thing
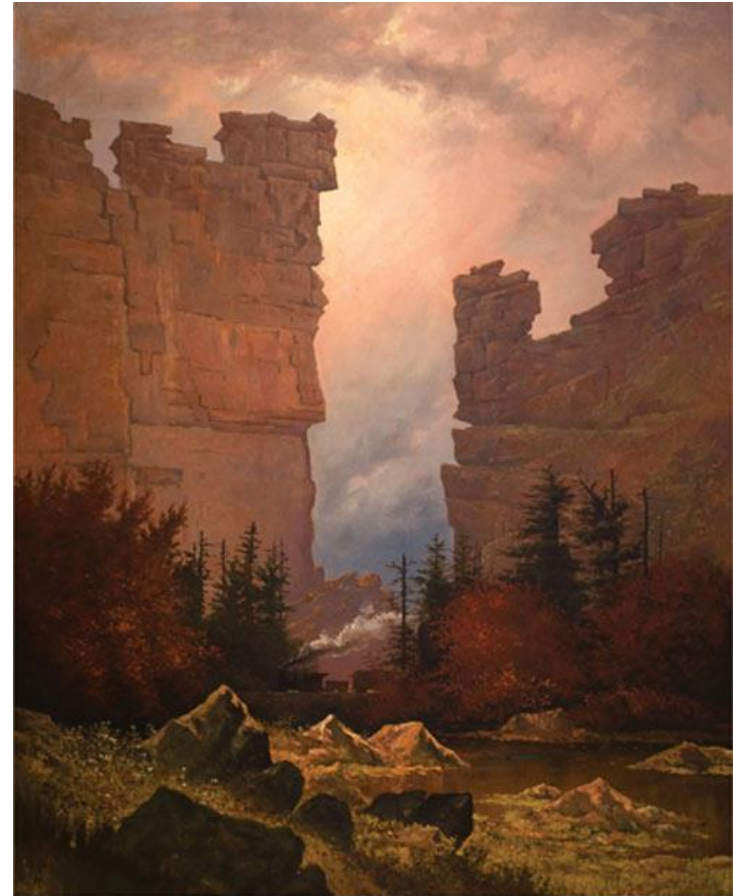
Alfred E. Lambourne, *Castle Gate, Price River Canyon* [Denver & Rio Grande #4], 1889, oil on canvas, 60 x 48 in. Private Collection. Credit: Painters of Utah's Canyons and Deserts, by Donna Poulton & Vern Swanson

Tips from the Herd

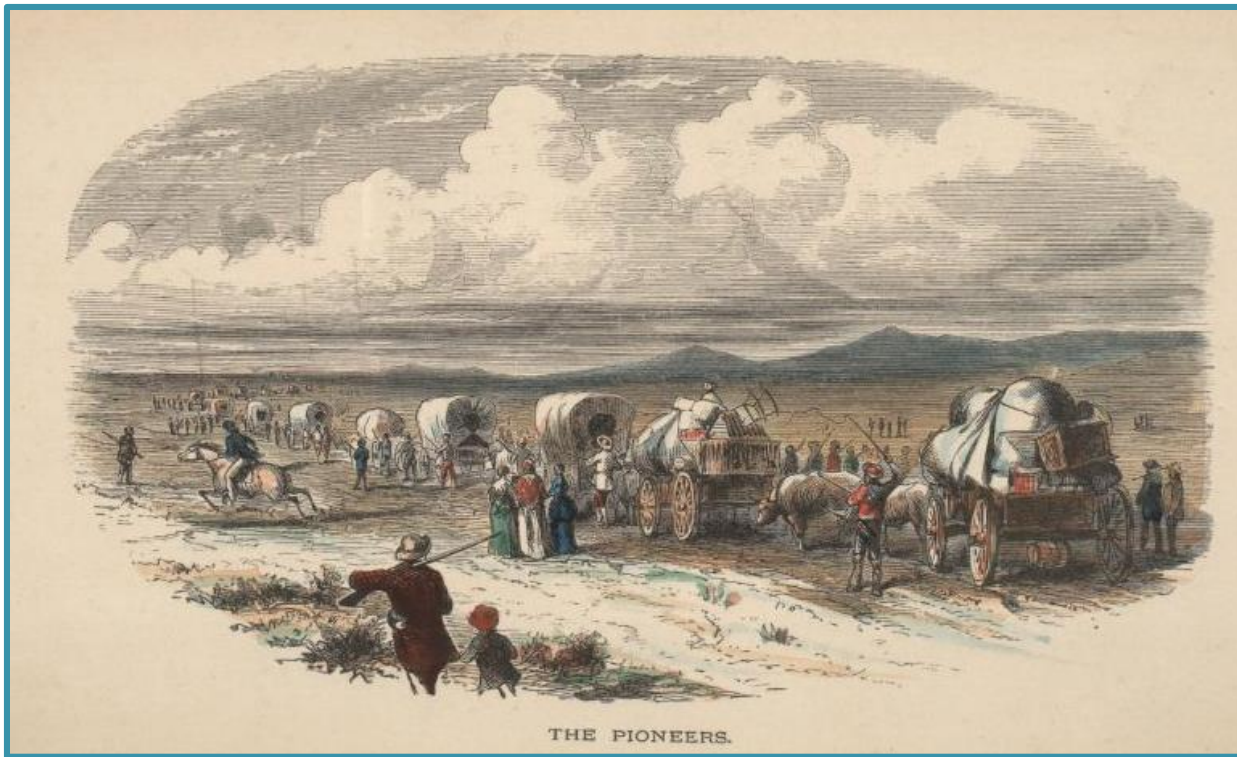- ☐ Profile Customization and Assessment

- ☐ Understanding eBook Availability

- ☐ Budgeting and Collection Development

Office.com

Recommendations

- Work closely with your vendor

- Expect it to take some time
  - Set up
  - Adjustments

- Supply adequate training

- Keep it as simple as possible.

THE PIONEERS.

Courtesy of UC Berkeley, Bancroft Library
http://content.cdlib.org/ark:/13030/tf0p3006fp/?order=1

Join the wagon train!

Questions & Comments Welcome

Contact Us

Sarah Forzetting – Ingram Academic
sarah.forzetting@ingramcontent.com

Rebecca Schroeder – Brigham Young University
rebecca_schroeder@byu.edu

Gabrielle Wiersma – University of Colorado
gabrielle.wiersma@colorado.edu

Jenny Hudson – YBP Library Services
jhudson@ybp.com

Feedback:

http://www.surveymonkey.com/s/alctsevents2012